

Helsinki, 23 November 2021

**Addressees**

Registrants of SucrosePalmitateStearate Oligo listed in the last Appendix of this decision

**Date of submission of the dossier subject of a decision**

25/05/2018

**Registered substance subject to this decision, hereafter 'the Substance'**

Substance name: Fatty acids C16-18 (even numbered), oligoesters with sucrose

EC number: 947-933-8

CAS number: NS

**Decision number:** Please refer to the REACH-IT message which delivered this communication (in format TPE-D-XXXXXXXXXX-XX-XX/F)**DECISION ON TESTING PROPOSAL(S)**

Based on Article 40 of Regulation (EC) No 1907/2006 (REACH), you must submit the information listed below by **30 November 2022**.

The requested information must be generated using the Substance unless otherwise specified.

**A. Information required from the Registrants subject to Annex VII of REACH**

1. Long-term toxicity testing on aquatic invertebrates (triggered by Annex VII, Section 9.1.1., column 2; test method: EU C.20./OECD TG 211)

**B. Information required from the Registrants subject to Annex VIII of REACH**

1. Long-term toxicity testing on fish (triggered by Annex VIII, Section 9.1.3., column 2; test method: EU C.47./OECD TG 210)

Reasons for the request(s) are explained in the following appendix entitled "Reasons to request information required under Annex VIII of REACH".

**Information required depends on your tonnage band**

You must provide the information listed above for all REACH Annexes applicable to you, and in accordance with Articles 10(a) and 12(1) of REACH, the information specified in Annexes VII and VIII to REACH, for registration at 10-100 tpa.

**How to comply with your information requirements**

To comply with your information requirements you must submit the information requested by this decision in an updated registration dossier by the deadline indicated above. You must also update the chemical safety report, where relevant, including any changes to classification and labelling, based on the newly generated information.

You must follow the general testing and reporting requirements provided under the Appendix entitled "Requirements to fulfil when conducting and reporting new tests for REACH purposes". In addition, you should follow the general recommendations provided under the

Appendix entitled "General recommendations when conducting and reporting new tests for REACH purposes". For references used in this decision, please consult the Appendix entitled "List of references".

### **Appeal**

This decision can be appealed to the Board of Appeal of ECHA within three months of its notification. An appeal, together with the grounds thereof, has to be submitted to ECHA in writing. An appeal has suspensive effect and is subject to a fee. Further details are described under: <http://echa.europa.eu/regulations/appeals>.

Approved<sup>1</sup> under the authority of Christel Schilliger-Musset, Director of Hazard Assessment

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<sup>1</sup> As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.

## Appendix A: Reasons to request information required under Annex VII of REACH

This decision is based on the examination of the testing proposals you submitted.

### 1. Long-term toxicity testing on aquatic invertebrates

Short-term toxicity testing on aquatic invertebrates is an information requirement under Annex VII to REACH (Section 9.1.1.). Long-term toxicity testing on aquatic invertebrates must be considered (Section 9.1.1., Column 2) if the substance is poorly water soluble.

Poorly water soluble substances require longer time to reach steady-state conditions. As a result, the short-term tests do not give a true measure of toxicity for this type of substances and the long-term test is required. A substance is regarded as poorly water soluble if, for instance, it has a water solubility below 1 mg/L or below the detection limit of the analytical method of the test material (ECHA Guidance R.7.8.5).

Under Section 4.8 of your technical dossier, you have provided an OECD TG 105 study (flask method) using HPLC for analytical measurements. The saturation concentration of the Substance in water was determined to be below the limit of quantification of the analytical method: < 0.509 mg/L.

Therefore, the Substance is poorly water soluble and information on long-term toxicity on aquatic invertebrates must be provided.

#### 1.1. Information provided to fulfil the information requirement

You have submitted a testing proposal for a *Daphnia magna* reproduction test (test method: EU C.20/OECD TG 211).

Your registration dossier does not include any information on long-term toxicity on aquatic invertebrates.

#### 1.2. Test selection and study specifications

The proposed *Daphnia magna* reproduction test (test method: EU C.20/OECD TG 211) is appropriate to cover the information requirement for long-term toxicity on aquatic invertebrates (ECHA Guidance R.7.8.4.1.).

The Substance is difficult to test due to the low water solubility (< 0.509 mg/L). OECD TG 211 specifies that, for difficult to test substances, you must consider the approach described in OECD GD 23 or other approaches, if more appropriate for your substance. In all cases, the approach selected must be justified and documented. Due to the properties of Substance, it may be difficult to achieve and maintain the desired exposure concentrations. Therefore, you must monitor the test concentration(s) of the Substance throughout the exposure duration and report the results. If it is not possible to demonstrate the stability of exposure concentrations (i.e. measured concentration(s) not within 80-120% of the nominal concentration(s)), you must express the effect concentration based on measured values as described in OECD TG 211. In case a dose-response relationship cannot be established (no observed effects), you must demonstrate that the approach used to prepare test solutions was adequate to maximise the concentration of the Substance in the test solutions.

For multi-constituents/UVCBs, the analytical method must be adequate to monitor qualitative and quantitative changes in exposure to the dissolved fraction of the test material during the

test (e.g. by comparing mass spectral full-scan GC or HPLC chromatogram peak areas or by using targeted measures of key constituents or groups of constituents).

If you decide to use the Water Accommodated Fraction (WAF) approach, in addition to the above, you must:

- use loading rates that are sufficiently low to be in the solubility range of most constituents (or that are consistent with the PEC value). This condition is mandatory to provide relevant information for the hazard and risk assessment (ECHA Guidance, Appendix R.7.8.1-1, Table R.7.8-3);
- provide a full description of the method used to prepare the WAF (including, among others, loading rates, details on the mixing procedure, method to separate any remaining non-dissolved test material including a justification for the separation technique);
- prepare WAFs separately for each dose level (i.e. loading rate) and in a consistent manner.

### 1.3. Outcome

Your testing proposal is accepted under Article 40(3)(a) and you are requested to conduct the test with the Substance, as specified above.

**Appendix B: Reasons to request information required under Annex VIII of REACH**

This decision is based on the examination of the testing proposals you submitted.

**1. Long-term toxicity testing on fish**

Short-term toxicity testing on fish is an information requirement under Annex VIII to REACH (Section 9.1.3.). Long-term toxicity testing on fish must be considered (Section 9.1.3., Column 2) if the substance is poorly water soluble.

Poorly water soluble substances require longer time to reach steady-state conditions. As a result, the short-term tests do not give a true measure of toxicity for this type of substances and the long-term test is required. A substance is regarded as poorly water soluble if, for instance, it has a water solubility below 1 mg/L or below the detection limit of the analytical method of the test material (ECHA Guidance R.7.8.5).

As already explained under Appendix A.1., the Substance is poorly water soluble and information on long-term toxicity on fish must be provided.

Under Article 40(3)(c) of REACH, ECHA may require a registrant to carry out one or more additional tests in case of non-compliance of the testing proposal with Annexes IX, X or XI of the REACH Regulation. The information requirement on Aquatic toxicity at Annex IX covers both long-term toxicity on invertebrates (Section 9.1.5.) and on fish (Section 9.1.6.). However, you have provided a testing proposal for long-term testing on aquatic invertebrates only. In case of data gap for long-term toxicity testing on fish, it is necessary to request this information as an additional test to ensure compliance with the endpoint.

*1.1. Information provided to fulfil the information requirement*

Your registration dossier does not include any information on long-term toxicity on fish.

Therefore, the information requirement is not fulfilled.

*1.2. Test selection and study specifications*

The Fish, Early-Life Stage Toxicity Test (test method: OECD TG 210) is appropriate to cover the information requirement for long-term toxicity on fish (ECHA Guidance R.7.8.4.1.).

OECD TG 210 specifies that for difficult to test substances OECD GD 23 must be followed. As already explained under Appendix A.1., the Substance is difficult to test. Therefore, you must fulfil the requirements described in 'Test selection and study specifications' under Appendix A.1.

*1.3. Outcome*

Under Article 40(3)(c) of REACH, you are requested to carry out the additional test with the Substance, as specified above.

## **Appendix C: Requirements to fulfil when conducting and reporting new tests for REACH purposes**

### **A. Test methods, GLP requirements and reporting**

1. Under Article 13(3) of REACH, all new data generated as a result of this decision must be conducted according to the test methods laid down in a European Commission Regulation or to international test methods recognised by the Commission or ECHA as being appropriate.
2. Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.
3. Under Article 10(a)(vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide on How to report robust study summaries<sup>2</sup>.

### **B. Test material**

1. Selection of the Test material(s)

The Test material used to generate the new data must be selected taking into account the following:

- the boundary composition(s) of the Substance,
  - the impact of each constituent/ impurity on the test results for the endpoint to be assessed. For example, if a constituent/ impurity of the Substance is known to have an impact on (eco)toxicity, the selected Test material must contain that constituent/ impurity.
2. Information on the Test material needed in the updated dossier
    - You must report the composition of the Test material selected for each study, under the "Test material information" section, for each respective endpoint study record in IUCLID.
    - The reported composition must include the careful identification and description of the characteristics of the Tests Materials in accordance with OECD GLP (ENV/MC/CHEM(98)16) and EU Test Methods Regulation (EU) 440/2008 (Note, Annex), namely all the constituents must be identified as far as possible as well as their concentration. Also, any constituents that have harmonised classification and labelling according to the CLP Regulation must be identified and quantified using the appropriate analytical methods,
    - The reported composition must also include other parameters relevant for the property to be tested, in this case, water solubility.

With that detailed information, ECHA will confirm whether the Test Material is relevant for the Substance.

Technical instructions on how to report the above is available in the manual on How to prepare registration and PPORD dossiers<sup>3</sup>.

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<sup>2</sup> <https://echa.europa.eu/practical-guides>

<sup>3</sup> <https://echa.europa.eu/manuals>

## **Appendix D: General recommendations when conducting and reporting new tests for REACH purposes**

### **A. Environmental testing for substances containing multiple constituents**

Your Substance contains multiple constituents and, as indicated in ECHA Guidance R.11 (Section R.11.4.2.2), you are advised to consider the following approaches for persistency, bioaccumulation and aquatic toxicity testing:

- the “known constituents approach” (by assessing specific constituents), or
- the “fraction/block approach, (performed on the basis of fractions/blocks of constituents), or
- the “whole substance approach”, or
- various combinations of the approaches described above

Selection of the appropriate approach must take into account the possibility to characterise the Substance (i.e. knowledge of its constituents and/or fractions and any differences in their properties) and the possibility to isolate or synthesize its relevant constituents and/or fractions.

## **Appendix E: Procedure**

ECHA started the testing proposal evaluation in accordance with Article 40(1) on 16 November 2020.

ECHA followed the procedure detailed in Articles 50 and 51 of REACH.

ECHA notified you of the draft decision and invited you to provide comments.

ECHA did not receive any comments within the commenting period.

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH.



**Appendix F: List of references - ECHA Guidance<sup>4</sup> and other supporting documents**Evaluation of available information

Guidance on information requirements and chemical safety assessment, Chapter R.4 (version 1.1., December 2011), referred to as ECHA Guidance R.4 where relevant.

Environmental toxicology and fate

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7b (version 4.0, June 2017), referred to as ECHA Guidance R.7b in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

OECD Guidance documents<sup>5</sup>

Guidance Document on aqueous-phase aquatic toxicity testing of difficult test chemicals – No 23, referred to as OECD GD 23.

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<sup>4</sup> <https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment>

<sup>5</sup> <http://www.oecd.org/chemicalsafety/testing/series-testing-assessment-publications-number.htm>

**Appendix G: Addressees of this decision and the corresponding information requirements applicable to them**

You must provide the information requested in this decision for all REACH Annexes applicable to you.

<b>Registrant Name</b>	<b>Registration number</b>	<b>Highest REACH Annex applicable to you</b>
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Where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas ECHA will send the decision to the actual registrant.