

Helsinki, 18 January 2023

Addressees

Registrant(s) of Dimethylol Propionic Acid as listed in Appendix 3 of this decision

Date of submission of the dossier subject to this decision 03/12/2015

Registered substance subject to this decision ("the Substance")

Substance name: 2,2-bis(hydroxymethyl)propionic acid EC number: 225-306-3

Decision number: Please refer to the REACH-IT message which delivered this communication (in format CCH-D-XXXXXXXXXXXXXXX/F)

DECISION ON A COMPLIANCE CHECK

Under Article 41 of Regulation (EC) No 1907/2006 (REACH), you must submit the information listed below by **23 October 2026**.

Requested information must be generated using the Substance unless otherwise specified.

Information required from all the Registrants subject to Annex VII of REACH

1. Growth inhibition study on aquatic plants (Annex VII, Section 9.1.2.; test method: EU C.3/OECD TG 201).

Information required from all the Registrants subject to Annex IX of REACH

- Long-term toxicity testing on aquatic invertebrates (Annex IX, Section 9.1.5.; test method: EU C.20./OECD TG 211);
- 3. Long-term toxicity testing on fish (Annex IX, Section 9.1.6.; test method: EU C.47./OECD TG 210).

Information required from all the Registrants subject to Annex X of REACH

- 4. Pre-natal developmental toxicity study (Annex X, Section 8.7.2.; test method: OECD TG 414) by oral route, in a second species (rabbit);
- 5. Extended one-generation reproductive toxicity study (Annex X, Section 8.7.3.; test method: OECD TG 443) by oral route, in rats, specified as follows:
 - Ten weeks premating exposure duration for the parental (P0) generation;
 - The highest dose level in P0 animals must be determined based on clear evidence of an adverse effect on sexual function and fertility without severe suffering or deaths in P0 animals as specified further in Appendix 1, or follow the limit dose concept. The reporting of the study must provide the justification for the setting of the dose levels;
 - Cohort 1A (Reproductive toxicity); and
 - Cohort 1B (Reproductive toxicity) without extension to mate the Cohort 1B animals to produce the F2 generation.

You must report the study performed according to the above specifications. Any expansion of the study must be scientifically justified.



The reasons for the request(s) are explained in Appendix 1.

Information required depends on your tonnage band

You must provide the information listed above for all REACH Annexes applicable to you in accordance with Articles 10(a) and 12(1) of REACH. The addressees of the decision and their corresponding information requirements based on registered tonnage band are listed in Appendix 3.

You are only required to share the costs of information that you must submit to fulfil your information requirements.

How to comply with your information requirements

To comply with your information requirements, you must submit the information requested by this decision in an updated registration dossier by the deadline indicated above. You must also **update the chemical safety report, where** relevant, including any changes to classification and labelling, based on the newly generated information.

You must follow the general requirements for testing and reporting new tests under REACH, see Appendix 4.

Appeal

This decision, when adopted under Article 51 of REACH, may be appealed to the Board of Appeal of ECHA within three months of its notification to you. Please refer to http://echa.europa.eu/regulations/appeals for further information.

Failure to comply

If you do not comply with the information required by this decision by the deadline indicated above, ECHA will notify the enforcement authorities of your Member State.

Authorised¹ under the authority of Mike Rasenberg, Director of Hazard Assessment

Appendix 1: Reasons for the request(s)

Appendix 2: Procedure

Appendix 3: Addressees of the decision and their individual information requirements

Appendix 4: Conducting and reporting new tests under REACH

¹ As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.



Appendix 1: Reasons for the request(s)

Contents

Con	itents	3	
Reasons related to the information under Annex VII of REACH			
1.	Growth inhibition study aquatic plants	4	
Rea	sons related to the information under Annex IX of REACH	6	
2.	Long-term toxicity testing on aquatic invertebrates	6	
3.	Long-term toxicity testing on fish	6	
Rea	sons related to the information under Annex X of REACH	8	
4.	Pre-natal developmental toxicity study in a second species	8	
5.	Extended one-generation reproductive toxicity study	8	
Ref	erences	12	



Reasons related to the information under Annex VII of REACH

1. Growth inhibition study aquatic plants

1 Growth inhibition study on aquatic plants is an information requirement under Annex VII to REACH (Section 9.1.2.).

1.1. Information provided

2 You have provided a growth inhibition study on aquatic algae (1993) with the Substance (study i).

1.2. Assessment of the information provided

- 3 To fulfil the information requirement, a study must comply with OECD TG 201 (Article 13(3) of REACH). Therefore, the following specifications must be met:
- 4 Validity criteria
 - a) exponential growth in the control cultures is observed over the entire duration of the test;
 - b) at least 16-fold increase in biomass is observed in the control cultures by the end of the test;
 - c) the mean coefficient of variation for section-by-section specific growth rates (days 0-1, 1-2 and 2-3, for 72-hour tests) in the control cultures is \leq 35%;
 - d) the coefficient of variation of average specific growth rates during the whole test period in replicate control cultures is \leq 7% in tests with *Pseudokirchneriella* subcapitata.
- 5 Characterisation of exposure
 - e) analytical monitoring must be conducted. Alternatively, a justification why the analytical monitoring of exposure concentrations is not technically feasible must be provided.
- 6 Reporting of the methodology and results
 - f) the method for determination of biomass and evidence of correlation between the measured parameter and dry weight are reported. Algal biomass is normally determined based on dry weight per volume, or alternatively as cell counts or biovolume using microscopy or an electric particle counter. If an alternative method is used (*e.g.* fluorescence), a satisfactory correlation with biomass must be demonstrated over the range of biomass occurring in the test;
 - g) the results of algal biomass determined in each flask at least daily during the test period are reported in a tabular form;
- 7 In study (i):
- 8 Validity criteria
 - a) no information on exponential growth in the control cultures is provided;
 - b) no information on increase in biomass in the control cultures by the end of the test is provided;



- c) no information on the mean coefficient of variation for section-by-section specific growth rates (days 0-1, 1-2 and 2-3, for 72-hour tests) in the control cultures is provided;
- d) no information on the coefficient of variation of average specific growth rates during the whole test period in replicate control cultures is provided.
- 9 Characterisation of exposure
 - e) no analytical monitoring of exposure was conducted.
- 10 Reporting of the methodology and results
 - f) you report that algal biomass was determined using epifluorescence. However, you have not reported evidence of correlation between the measured parameter and dry weight or cell numbers over the range of biomass occurring in the test;
 - g) tabulated data on the algal biomass determined daily for each treatment group and control are not reported.
- 11 Based on the above:

• there are critical methodological deficiencies resulting in the rejection of the study results. More specifically, in the lack of analytical monitoring (see e)) it cannot be verified that exposure concentrations were stable throughout the study and thus the reported effect values based on nominal concentrations are not reliable.

• the reporting of the study is not sufficient to conduct an independent assessment of its reliability. More specifically, it cannot be verified whether the validity criteria, i.e. a) – d), were met in this study because this information together with relevant data f) and g) are not provided.

12 Therefore, the requirements of OECD TG 201 are not met and the information requirement is not fulfilled.



Reasons related to the information under Annex IX of REACH

2. Long-term toxicity testing on aquatic invertebrates

13 Long-term toxicity testing on aquatic invertebrates is an information requirement under Annex IX to REACH (Section 9.1.5.).

2.1. Information provided

- 14 You have adapted this information requirement by using Column 2 of Annex IX, Section 9.1. To support the adaptation, you have provided following information:
- 15 "In the chemical safety assessment performed according to Article 14(3) in connection with Annex I section 3 (Environmental Hazard Assessment) no hazard was identified. Therefore according to REACH Annex I (5.0) exposure estimation is not necessary. Consequently, in accordance with Column 2 of REACH Annex IX, the study does not need to be conducted as all identified uses of the substance are assessed as safe for the environment."

2.2. Assessment of the information provided

- 16 Annex IX, Section 9.1., Column 2 does not allow omitting the need to submit information on long-term toxicity to aquatic invertebrates under Column 1. It must be understood as a trigger for providing further information on aquatic invertebrates if the chemical safety assessment according to Annex I indicates the need (Decision of the Board of Appeal in case A-011-2018).
- 17 Your adaptation is therefore rejected and the information requirement is not fulfilled.

3. Long-term toxicity testing on fish

18 Long-term toxicity testing on fish is an information requirement under Annex IX to REACH (Section 9.1.6.).

3.1. Information provided

- 19 You have adapted this information requirement by using Column 2 of Annex IX, Section 9.1. To support the adaptation, you have provided following information:
- 20 "In the chemical safety assessment performed according to Article 14(3) in connection with Annex I section 3 (Environmental Hazard Assessment) no hazard was identified. Therefore according to REACH Annex I (5.0) exposure estimation is not necessary. Consequently, in accordance with Column 2 of REACH Annex IX, the study does not need to be conducted as all identified uses of the substance are assessed as safe for the environment."

3.2. Assessment of the information provided

21 Annex IX, Section 9.1., Column 2 does not allow omitting the need to submit information on long-term toxicity to fish under Column 1. It must be understood as a trigger for providing further information on long-term toxicity to fish if the chemical safety assessment according to Annex I indicates the need (Decision of the Board of Appeal in case A-011-2018).



22 Your adaptation is therefore rejected and the information requirement is not fulfilled.

3.3. Study design and test specifications

23 To fulfil the information requirement for the Substance, the Fish, Early-life Stage Toxicity Test (test method OECD TG 210) is the most appropriate (Guidance on IRs and CSA, Section R.7.8.2.).



Reasons related to the information under Annex X of REACH

4. Pre-natal developmental toxicity study in a second species

24 Pre-natal developmental toxicity (PNDT) studies (OECD TG 414) in two species is an information requirement under Annex X, Section 8.7.2.

4.1. Information provided

25 You have not submitted any information for this requirement. On this basis, the information requirement is not fulfilled.

4.2. Specification of the study design

- A PNDT study according to the test method OECD TG 414 should be performed in rat or rabbit as preferred species. The study in the first species was carried out by using a rodent species (rat).
- 27 Therefore, a PNDT study in a second species must be performed in the rabbit as preferred non-rodent species.
- 28 The study must be performed with oral administration of the Substance (Guidance on IRs and CSA, Section R.7.6.2.3.2.).
- 29 Based on the above, the study must be conducted in rabbits with oral administration of the Substance.

5. Extended one-generation reproductive toxicity study

30 An extended one-generation reproductive toxicity (EOGRT) study (OECD TG 443) is an information requirement under Annex X, Section 8.7.3. Furthermore column 2 defines the conditions under which the study design needs to be expanded.

5.1. Information provided

- 31 You have not provided any studies for this information requirement. Instead, you state that "No evidence of an effect on the reproductive organs in males or females was seen in a 90day rat toxicity study at dose levels of up to and including 1000 mg/kg bw/day", claiming that "repeated dose toxicity studies should be considered sensitive and sufficient information to evaluate potential reproductive toxicity".
- 32 Based on above, ECHA understands that you have adapted this information requirement by using Annex X, Section 8.7., column 2.

5.2. Assessment of the information provided

- 33 Under Annex X, Section 8.7., column 2, the study does not need to be conducted if the following criteria, among others, are met:
 - that it can be proven from toxicokinetic data that no systemic absorption occurs via relevant routes of exposure; and
 - that there is no or no significant human exposure.



- 34 No toxicokinetic data on the Substance are available. In your dossier you state however that "*extensive oral absorption of [the Substance] is predicted based on its molecular size, solubility, chemical structure and on the basis of experience with other alcohols"* and that oral absorption is demonstrated by systemic effects observed in the 90-day oral study. You further state that extensive absorption after inhalation exposure and dermal absorption to some extent are also likely.
- 35 The uses of the Substance include, amongst others, widespread uses by professional workers as laboratory chemical, in paints and in the industrial manufacture of polymers.
- 36 You have not proven that no systemic absorption occurs. In contrast, systemic absorption is assumed, supported by the significant, treatment-related increases in absolute and relative liver weights compared to controls reported in the 90-day oral study conducted with the Substance. Furthermore, the uses of the Substance indicate that there is human exposure which you have not addressed.
- 37 On this basis, you have not demonstrated that the criteria for this adaptation are fulfilled.
- 38 Therefore, your adaptation is rejected and the information requirement is not fulfilled.

5.3. Specification of the study design

5.3.1. Species and route selection

39 A study according to the test method OECD TG 443 must be performed in rats with oral administration of the Substance (Guidance on IRs and CSA, Section R.7.6.2.3.2.).

5.3.2. Pre-mating exposure duration

- 40 The length of pre-mating exposure period must be ten weeks to cover the full spermatogenesis and folliculogenesis before the mating, allowing meaningful assessment of the effects on fertility.
- 41 Ten weeks pre-mating exposure duration is required to obtain results adequate for classification and labelling and/or risk assessment. There is no substance specific information in the dossier supporting shorter premating exposure duration (Guidance on IRs and CSA, Section R.7.6.). Therefore, the requested pre-mating exposure duration is ten weeks.

5.3.3. Dose-level setting

- 42 The aim of the requested test must be to demonstrate whether the classification criteria of the most severe hazard category for sexual function and fertility (Repr. 1B; H360F) and developmental toxicity (Repr. 1B; H360D) under the CLP Regulation apply for the Substance (OECD TG 443, paragraph 22; OECD GD 151, paragraph 28; Annex I Section 1.0.1. of REACH and Recital 7, Regulation 2015/282), and whether the Substance meets the criteria for a Substance of very high concern regarding endocrine disruption according to Art.57(f) of REACH as well as supporting the identification of appropriate risk management measures in the chemical safety assessment.
- 43 To investigate the properties of the Substance for these purposes, the highest dose level must be set on the basis of clear evidence of an adverse effect on sexual function and fertility, but no deaths (i.e., no more than 10% mortality; Section 3.7.2.4.4 of Annex I to the CLP Regulation) or severe suffering such as persistent pain and distress (OECD GD 19, paragraph 18) in the P0 animals.
- 44 In case there are no clear evidence of an adverse effect on sexual function and fertility, the limit dose of at least 1000 mg/kg bw/day or the highest possible dose level not causing severe suffering or deaths in P0 must be used as the highest dose level. A descending



sequence of dose levels should be selected to demonstrate any dose-related effect and aiming to establish the lowest dose level as a NOAEL.

- 45 In summary: Unless limited by the physical/chemical nature of the Substance, the highest dose level in P0 animals must be as follows:
 - (1) in case of clear evidence of an adverse effect on sexual function and fertility without severe suffering or deaths in PO animals, the highest dose level in PO animals must be determined based on such clear evidence, or
 - (2) in the absence of such clear evidence, the highest dose level in P0 animals must be set to be the highest possible dose not causing severe suffering or death, or
 - (3) if there is such clear evidence but the highest dose level set on that basis would cause severe suffering or death, the highest dose level in P0 animals must be set to be the highest possible dose not causing severe suffering or death, or
 - (4) the highest dose level in PO animals must follow the limit dose concept.
- 46 You have to provide a justification with your study results demonstrating that the dose level selection meets the conditions described above.
- 47 Numerical results (i.e. incidences and magnitudes) and description of the severity of effects at all dose levels from the dose range-finding study/ies must be reported to facilitate the assessment of the dose level section and interpretation of the results of the main study.

5.3.4. Cohorts 1A and 1B

48 Cohorts 1A and 1B belong to the basic study design and must be included.

5.3.4.1. Histopathological investigations in Cohorts 1A and 1B

- 49 In addition to histopathological investigations of cohorts 1A, organs and tissues of Cohort 1B animals processed to block stage, including those of identified target organs, must be subjected to histopathological investigations (according to OECD TG 443, paragraph 67 and 72) if
 - the results from Cohort 1A are equivocal,
 - the test substance is a suspected reproductive toxicant or
 - the test substance is a suspected endocrine toxicant.

5.3.4.2. Splenic lymphocyte subpopulation analysis

50 Splenic lymphocyte subpopulation analysis must be conducted in Cohort 1A (OECD TG 443, paragraph 66; OECD GD 151, Annex Table 1.3).

5.3.4.3. Investigations of sexual maturation

51 To improve the ability to detect rare or low-incidence effects, all F1 animals must be maintained until sexual maturation to ensure that sufficient animals (3/sex/litter/dose) are available for evaluation of balano-preputial separation or vaginal patency (OECD GD 151, paragraph 12 in conjunction with OECD TG 443, paragraph 47). For statistical analyses, data on sexual maturation from all evaluated animals/sex/dose must be combined to maximise the statistical power of the study.

5.3.4.4. Further expansion of the study design

52 The conditions to include the extension of Cohort 1B are currently not met. Furthermore, no triggers for the inclusion of Cohorts 2A and 2B (developmental neurotoxicity) and Cohort



3 (developmental immunotoxicity) were identified. However, you may expand the study by including the extension of Cohort 1B, Cohorts 2A and 2B and/or Cohort 3 if relevant information becomes available from other studies or during conduct of this study. Inclusion is justified if the available information meets the criteria and conditions which are described in Annex IX/X, Section 8.7.3., Column 2. You may also expand the study due to other scientific reasons in order to avoid a conduct of a new study. The study design, including any added expansions, must be fully justified and documented. Further detailed guidance on study design and triggers is provided in Guidance on IRs & CSA, Section R.7.6.



References

The following documents may have been cited in the decision.

Guidance on information requirements and chemical safety assessment (Guidance on IRs & CSA)

- Chapter R.4 Evaluation of available information; ECHA (2011).
- Chapter R.6 QSARs, read-across and grouping; ECHA (2008).
 - Appendix to Chapter R.6 for nanoforms; ECHA (2019).
- Chapter R.7a Endpoint specific guidance, Sections R.7.1 R.7.7; ECHA (2017). Appendix to Chapter R.7a for nanomaterials; ECHA (2017).
- Chapter R.7b Endpoint specific guidance, Sections R.7.8 R.7.9; ECHA (2017). Appendix to Chapter R.7b for nanomaterials; ECHA (2017).
- Chapter R.7c Endpoint specific guidance, Sections R.7.10 R.7.13; ECHA (2017). Appendix to Chapter R.7a for nanomaterials; ECHA (2017). Appendix R.7.13-2 Environmental risk assessment for metals and metal compounds; ECHA (2008).
- Chapter R.11 PBT/vPvB assessment; ECHA (2017).

Chapter R.16 Environmental exposure assessment; ECHA (2016).

Guidance on data-sharing; ECHA (2017).

Guidance for monomers and polymers; ECHA (2012).

Guidance on intermediates; ECHA (2010).

All guidance documents are available online: <u>https://echa.europa.eu/guidance-documents/guidance-on-reach</u>

Read-across assessment framework (RAAF)

RAAF, 2017Read-across assessment framework (RAAF); ECHA (2017).RAAF UVCB, 2017Read-across assessment framework (RAAF) – considerations on
multi- constituent substances and UVCBs; ECHA (2017).

The RAAF and related documents are available online:

https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-onanimals/grouping-of-substances-and-read-across

OECD Guidance documents (OECD GDs)

OECD GD 23	Guidance document on aquatic toxicity testing of difficult
	substances and mixtures; No. 23 in the OECD series on testing and assessment, OECD (2019).
OECD GD 29	Guidance document on transformation/dissolution of metals and
	metal compounds in aqueous media; No. 29 in the OECD series on
	testing and assessment, OECD (2002).
OECD GD 150	Revised guidance document 150 on standardised test guidelines for
	evaluating chemicals for endocrine disruption; No. 150 in the OECD
	series on testing and assessment, OECD (2018).
OECD GD 151	Guidance document supporting OECD test guideline 443 on the
	extended one-generation reproductive toxicity test; No. 151 in the
	OECD series on testing and assessment, OECD (2013).



Appendix 2: Procedure

This decision does not prevent ECHA from initiating further compliance checks at a later stage on the registrations present.

ECHA followed the procedure detailed in Articles 50 and 51 of REACH.

The compliance check was initiated on 02 November 2021.

The deadline of the decision is set based on standard practice for carrying out OECD TG tests. It has been exceptionally extended by 12 months from the standard deadline granted by ECHA to take into account currently longer lead times in contract research organisations.

ECHA notified you of the draft decision and invited you to provide comments.

ECHA did not receive any comments within the commenting period.

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH.



Appendix 3: Addressees of this decision and their corresponding information requirements

In accordance with Articles 10(a) and 12(1) of REACH, the information requirements for individual registrations are defined as follows:

- the information specified in Annex VII to REACH, for registration at 1-10 tonnes per year (tpa), or as a transported isolated intermediate in quantity above 1000 tpa;
- the information specified in Annexes VII and VIII to REACH, for registration at 10-100 tpa;
- the information specified in Annexes VII, VIII and IX to REACH, for registration at 100-1000 tpa;
- the information specified in Annexes VII to X to REACH, for registration at more than 1000 tpa.

Registrant Name	Registration number	Highest REACH Annex applicable to you

Where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas ECHA will send the decision to the actual registrant.



Appendix 4: Conducting and reporting new tests for REACH purposes

1. Requirements when conducting and reporting new tests for REACH purposes

1.1. Test methods, GLP requirements and reporting

- (1) Under Article 13(3) of REACH, all new data generated as a result of this decision must be conducted according to the test methods laid down in a European Commission Regulation or to international test methods recognised by the Commission or ECHA as being appropriate.
- (2) Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.
- (3) Under Article 10(a)(vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide on How to report robust study summaries².
- (4) Under the introductory part of Annexes VII/VIII/IX/X to REACH, where a test method offers flexibility in the study design, for example in relation to the choice of dose levels or concentrations, the chosen study design must ensure that the data generated are adequate for hazard identification and risk assessment.

1.2. Test material

Before generating new data, you must agree within the joint submission on the chemical composition of the material to be tested (Test Material) which must be relevant for all the registrants of the Substance.

(1) Selection of the Test material(s)

The Test Material used to generate the new data must be selected taking into account the following:

- the variation in compositions reported by all members of the joint submission,
 - the boundary composition(s) of the Substance,
- the impact of each constituent/ impurity on the test results for the endpoint to be assessed. For example, if a constituent/ impurity of the Substance is known to have an impact on (eco)toxicity, the selected Test Material must contain that constituent/ impurity.
- (2) Information on the Test Material needed in the updated dossier
 - You must report the composition of the Test Material selected for each study, under the "Test material information" section, for each respective endpoint study record in IUCLID.
 - The reported composition must include all constituents of each Test Material and their concentration values and other parameters relevant for the property to be tested.

This information is needed to assess whether the Test Material is relevant for the Substance and whether it is suitable for use by all members of the joint submission.

Technical instructions on how to report the above is available in the manual on How to prepare registration and PPORD dossiers³.

² <u>https://echa.europa.eu/practical-guides</u>

³ <u>https://echa.europa.eu/manuals</u>