

Helsinki, 16 June 2021

Addressees

Registrant(s) of JS_CAS121-79-9 as listed in the last Appendix of this decision

Date of submission of the dossier subject to this decision

27/08/2018

Registered substance subject to this decision ("the Substance")

Substance name: Propyl 3,4,5-trihydroxybenzoate

EC number: 204-498-2

CAS number: 121-79-9

Decision number: Please refer to the REACH-IT message which delivered this communication (in format CCH-D-XXXXXXXXXX-XX-XX/F)**DECISION ON A COMPLIANCE CHECK**

Under Article 41 of Regulation (EC) No 1907/2006 (REACH), you must submit the information listed below, by the deadline of **2 January 2023**.

The assessment for this compliance check was targeted to Human health endpoints, only.

Requested information must be generated using the Substance unless otherwise specified.

A. Information required from all the Registrants subject to Annex IX of REACH

1. Transgenic rodent somatic and germ cell gene mutation assay (Annex IX, Section 8.4., column 2; test method: OECD TG 488 from 2020¹) in transgenic mice or rats, oral route on the following tissues: liver and glandular stomach; germ cells and duodenum must be harvested and stored for up to 5 years. Duodenum must be analysed if the results of the glandular stomach and of the liver are negative or inconclusive.

OR

In vivo mammalian alkaline comet assay (Annex IX, Section 8.4., column 2; test method: OECD TG 489) in rats, oral route, on the following tissues: liver, glandular stomach and duodenum.

Reasons for the request(s) are explained in the following appendix:

- Appendix entitled "Reasons to request information required under Annex IX of REACH".

Information required depends on your tonnage band

You must provide the information listed above for all REACH Annexes applicable to you, and in accordance with Articles 10(a) and 12(1) of REACH:

¹ The updated OECD TG 488, adopted on 26 June 2020, is available on OECD website at <https://www.oecd-ilibrary.org/docserver/9789264203907-en.pdf?expires=1596539942&id=id&accname=guest&checksum=D552783C4CB0FC8045D04C88EFFBFA66>.

- the information specified in Annexes VII, VIII and IX to REACH, for registration at 100-1000 tpa.

How to comply with your information requirements

To comply with your information requirements you must submit the information requested by this decision in an updated registration dossier by the deadline indicated above. You must also update the chemical safety report, where relevant, including any changes to classification and labelling, based on the newly generated information.

You must follow the general testing and reporting requirements provided under the Appendix entitled "Requirements to fulfil when conducting and reporting new tests for REACH purposes". For references used in this decision, please consult the Appendix entitled "List of references".

Appeal

This decision, when adopted under Article 51 of REACH, may be appealed to the Board of Appeal of ECHA within three months of its notification to you. Please refer to <http://echa.europa.eu/regulations/appeals> for further information.

Failure to comply

If you do not comply with the information required by this decision by the deadline indicated above, ECHA will notify the enforcement authorities of your Member State.

Authorised² under the authority of Christel Schilliger-Musset, Director of Hazard Assessment

² As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.

Appendix A: Reasons to request information required under Annex IX of REACH

1. *In vivo* mammalian alkaline comet assay; or Transgenic rodent somatic and germ cell gene mutation assays

Under Annex IX, Section 8.4, column 2 of REACH, the information requirement for an appropriate *in vivo* somatic cell genotoxicity study is triggered if 1) there is a positive result in any of the *in vitro* genotoxicity studies in Annex VII or VIII and 2) there are no appropriate results already available from an *in vivo* somatic cell genotoxicity study.

Trigger

In relation to the first condition, your dossier contains positive results for the *in vitro* gene mutation study in mammalian cells which raise the concern for gene mutation.

In relation to the second condition, to be considered adequate, the study has to meet the requirements of OECD TG 489, and the key parameters of this test guideline include:

- a) The study must include a minimum of three doses/groups of treated animals as well as a negative control group and a positive control group.
- b) Each group must have a minimum of 5 analysable animals (the test can be performed in either sex).
- c) At least 150 cells must be analysed for each sample (per tissue, per animal).
- d) Data on the % tail DNA (or other measures, if chosen) and mean values per group should be reported for the treated and control groups.

You have submitted a study (2002) performed according to a test method similar to OECD TG 489 (*in vivo* mammalian alkaline comet assay) with the Substance in order to follow up the concern for gene mutation raised by the *in vitro* results.

The reported data for the study do not include:

- a) a positive control group (or scoring control) and the appropriate number of doses
- b) a minimum of 5 animals per group
- c) the analysis of the adequate number of cells
- d) data on the % tail DNA (or other measures, if chosen) and mean values per group for the treated and control groups.

The information provided does not cover key parameters required by OECD TG 489. Therefore, the conditions set out in Annex IX, Section 8.4, column 2 are met and the information requirement for an appropriate *in vivo* somatic cell genotoxicity study is triggered.

Assessment of the information provided to fulfil this information requirement

You have provided an *in vivo* mammalian alkaline comet assay study (2002), which is rejected for the reasons provided above.

On this basis, the information requirement is not fulfilled.

Test selection and test design

i. Test selection

According to the ECHA Guidance Chapter R.7a, Section R.7.7.6.3, the transgenic rodent somatic and germ cell gene mutation assay ("TGR assay", OECD TG 488) and the *in vivo* mammalian alkaline comet assay ("comet assay", OECD TG 489) are suitable to follow up a positive *in vitro* result on gene mutation.

ii. Test design

In case you decide to perform the TGR assay, according to the test method OECD TG 488, the test must be performed in transgenic mice or rats and the test substance is usually administered orally.

Based on the recent update³ of OECD TG 488, you are requested to follow the new 28+28d regimen, as it permits the testing of mutations in somatic tissues and as well as in tubule germ cells from the same animals. This updated version provides for a transitional period for the new version. However, ECHA is aware that testing according to the updated OECD TG is already available from CROs and the new study design would provide meaningful germ cell data, so this decision requires the application of the new version.

According to the test method OECD TG 488, the test must be performed by analysing tissues from liver as slowly proliferating tissue and primary site of xenobiotic metabolism, glandular stomach and duodenum as rapidly proliferating tissue and site of direct contact. There are several expected or possible variables between the glandular stomach and the duodenum (different tissue structure and function, different pH conditions, variable physico-chemical properties and fate of the Substance, and probable different local absorption rates of the Substance and its possible breakdown product(s)). In light of these expected or possible variables, it is necessary to analyse both tissues to ensure a sufficient evaluation of the potential for mutagenicity at the site of contact in the gastro-intestinal tract. However, duodenum must be stored (at or below $-70\text{ }^{\circ}\text{C}$) until the analysis of liver and glandular stomach is completed; the duodenum must then be analysed only if the results obtained for the glandular stomach and for the liver are negative or inconclusive.

In case you decide to perform the comet assay according to the test method OECD TG 489, the test must be performed in rats. Having considered the anticipated routes of human exposure and the need for adequate exposure of the target tissue(s) performance of the test by the oral route is appropriate.

In line with the test method OECD TG 489, the test must be performed by analysing tissues from liver as primary site of xenobiotic metabolism, glandular stomach and duodenum as sites of contact. There are several expected or possible variables between the glandular stomach and the duodenum (different tissue structure and function, different pH conditions, variable physico-chemical properties and fate of the Substance, and probable different local absorption rates of the Substance and its possible breakdown product(s)). In light of these expected or possible variables, it is necessary to analyse both tissues to ensure a sufficient evaluation of the potential for genotoxicity at the site of contact in the gastro-intestinal tract.

You are reminded that in case you choose to perform a comet assay you may decide to take into account the potential cross-linking properties of the Substance in the experimental setup of the comet assay and perform a modified comet assay in order to detect cross links. Therefore, you may consider preparing and analysing two sets of slides: one set of slides submitted to the standard experimental conditions (as described in OECD TG 489); the other set of slides submitted to modified experimental conditions that enable the detection of DNA. The modified experimental conditions may utilise one of the following options: (1) increase of electrophoresis time, e.g. as described in reference 23⁴ in the OECD TG 489; (2) treatment

³ The updated OECD TG 488, adopted on 26 June 2020, is available on OECD website at <https://www.oecd-ilibrary.org/docserver/9789264203907-en.pdf?expires=1596539942&id=id&accname=guest&checksum=D552783C4CB0FC8045D04C88EFFBFA66>.

⁴ Reference 23 of OECD TG 489 (2016): (23) Nesslany, F, Zennouche N, Simar-Meintieres S, Talahari I, NKili-Mboui E-N, Marzin D (2007), *In vivo* comet assay on isolated kidney cells to distinguish genotoxic carcinogens from epigenetic carcinogens or cytotoxic compounds, *Mutation Research/Genetic Toxicology and Environmental Mutagenesis*, Vol. 630/1, pp. 28-41.

of isolated cells (either in suspension or embedded in the slides) with a chemical (e.g. MMS); or (3) treatment of isolated cells (either in suspension or embedded in the slides) with ionising radiation (options 2 and 3 are described e.g. in references 36-39⁵ in the OECD TG 489 or Pant⁶ et al. 2015). In order to ensure the robustness of the test result a specific positive control group of animals would be needed.

iii. Germ cells

A subsequent germ cell genotoxicity study (TGR/OECD TG 488, or CA on spermatogonia/OECD TG 483, depending on the concern raised by the substance) may still be required under Annex IX of REACH, in case 1) an *in vivo* genotoxicity test on somatic cell is positive, and 2) no clear conclusion can be made on germ cell mutagenicity.

Therefore, in case you decide to perform the TGR, you must collect the male germ cells (from the seminiferous tubules) at the same time as the other tissues, in order to limit additional animal testing. According to the OECD 488, the tissues (or tissue homogenates) can be stored under specific conditions and used for DNA isolation for up to 5 years (at or below $-70\text{ }^{\circ}\text{C}$). This duration is sufficient to allow you or ECHA, in accordance to Annex IX, Section 8.4., column 2, to decide on the need for assessment of mutation frequency in the collected germ cells. This type of evidence may be relevant for the overall assessment of possible germ cell mutagenicity including classification and labelling according to the CLP Regulation.

In case you decide to perform the comet assay, you may consider to collect the male gonadal cells collected from the seminiferous tubules in addition to the other aforementioned tissues in the comet assay, as it would optimise the use of animals. You can prepare the slides for male gonadal cells and store them for up to 2 months, at room temperature, in dry conditions and protected from light. Following the generation and analysis of data on somatic cells in the comet assay, in accordance to Annex IX, Section 8.4., column 2, you should consider analysing the slides prepared with gonadal cells. This type of evidence may be relevant for the overall assessment of possible germ cell mutagenicity including classification and labelling according to the CLP Regulation.

⁵ References 36 to 39 of OECD TG 489 (2016): (36) Merk, O., G. Speit (1999), Detection of crosslinks with the comet assay in relationship to genotoxicity and cytotoxicity, *Environmental and Molecular Mutagenesis*, Vol. 33/2, pp. 167-72; (37) Pfuhler, S., H.U. Wolf (1996), Detection of DNA-crosslinking agents with the alkaline comet assay, *Environmental and Molecular Mutagenesis*, Vol. 27/3, pp. 196-201; (38) Wu, J.H., N.J. Jones (2012), Assessment of DNA interstrand crosslinks using the modified alkaline comet assay, *Methods in Molecular Biology*, Vol. 817, pp. 165-81; (39) Spanswick, V.J., J.M. Hartley, J.A. Hartley (2010), Measurement of DNA interstrand crosslinking in individual cells using the Single Cell Gel Electrophoresis (Comet) assay, *Methods in Molecular Biology*, Vol. 613, pp. 267-282.

⁶ Pant K, Roden N, Zhang C, Bruce C, Wood C, and Pendino K (2015) Modified *In Vivo* Comet Assay Detects the Genotoxic Potential of 14-Hydroxycodone, an α,β -Unsaturated Ketone in Oxycodone. *Environmental and Molecular Mutagenesis* 56, 777-787.

Appendix B: Requirements to fulfil when conducting and reporting new tests for REACH purposes

1. Test methods, GLP requirements and reporting

1. Under Article 13(3) of REACH, all new data generated as a result of this decision must be conducted according to the test methods laid down in a European Commission Regulation or to international test methods recognised by the Commission or ECHA as being appropriate.
2. Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.
3. Under Article 10(a)(vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide on How to report robust study summaries⁷.

2. Test material

1. Selection of the Test material(s)

The Test Material used to generate the new data must be selected taking into account the following:

- the impact of each constituent/ impurity on the test results for the endpoint to be assessed. For example, if a constituent/ impurity of the Substance is known to have an impact on (eco)toxicity, the selected Test Material must contain that constituent/ impurity.
2. Information on the Test Material needed in the updated dossier
 - You must report the composition of the Test Material selected for each study, under the "Test material information" section, for each respective endpoint study record in IUCLID.
 - The reported composition must include all constituents of each Test Material and their concentration values and other parameters relevant for the property to be tested.

This information is needed to assess whether the Test Material is relevant for the Substance.

Technical instructions on how to report the above is available in the manual on How to prepare registration and PPORD dossiers⁸.

⁷ <https://echa.europa.eu/practical-guides>

⁸ <https://echa.europa.eu/manuals>

Appendix C: Procedure

This decision does not prevent ECHA from initiating further compliance checks at a later stage on the registrations present.

ECHA followed the procedure detailed in Articles 50 and 51 of REACH.

The compliance check was initiated on 31 July 2020.

ECHA notified you of the draft decision and invited you to provide comments.

ECHA did not receive any comments within the commenting period.

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH.

Appendix D: List of references - ECHA Guidance⁹ and other supporting documentsEvaluation of available information

Guidance on information requirements and chemical safety assessment, Chapter R.4 (version 1.1., December 2011), referred to as ECHA Guidance R.4 where relevant.

QSARs, read-across and grouping

Guidance on information requirements and chemical safety assessment, Chapter R.6 (version 1.0, May 2008), referred to as ECHA Guidance R.6 where relevant.

Read-across assessment framework (RAAF, March 2017)¹⁰

RAAF - considerations on multiconstituent substances and UVCBs (RAAF UVCB, March 2017)¹¹

Physical-chemical properties

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Toxicology

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

Environmental toxicology and fate

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7b (version 4.0, June 2017), referred to as ECHA Guidance R.7b in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

PBT assessment

Guidance on information requirements and chemical safety assessment, Chapter R.11 (version 3.0, June 2017), referred to as ECHA Guidance R.11 in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.16 (version 3.0, February 2016), referred to as ECHA Guidance R.16 in this decision.

Data sharing

Guidance on data-sharing (version 3.1, January 2017), referred to as ECHA Guidance on data sharing in this decision.

OECD Guidance documents¹²

⁹ <https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment>

¹⁰ <https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-on-animals/grouping-of-substances-and-read-across>

¹¹ https://echa.europa.eu/documents/10162/13630/raaf_uvcb_report_en.pdf/3f79684d-07a5-e439-16c3-d2c8da96a316

¹² <http://www.oecd.org/chemicalsafety/testing/series-testing-assessment-publications-number.htm>

Guidance Document on aqueous-phase aquatic toxicity testing of difficult test chemicals – No 23, referred to as OECD GD 23.

Guidance document on transformation/dissolution of metals and metal compounds in aqueous media – No 29, referred to as OECD GD 29.

Guidance Document on Standardised Test Guidelines for Evaluating Chemicals for Endocrine Disruption – No 150, referred to as OECD GD 150.

Guidance Document supporting OECD test guideline 443 on the extended one-generation reproductive toxicity test – No 151, referred to as OECD GD 151.

Appendix E: Addressees of this decision and their corresponding information requirements

You must provide the information requested in this decision for all REACH Annexes applicable to you.

Registrant Name	Registration number	Highest REACH Annex applicable to you
████████████████████	████████████████████	██████

Where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas ECHA will send the decision to the actual registrant.