

Helsinki, 14 February 2020

| Addressees     |   |      |
|----------------|---|------|
| Registrants of | listed in the last Appendix of this decis | sion |

Date of submission for the jointly submitted dossier subject of a decision 07/03/2019

Registered substance subject to this decision, hereafter 'the Substance'

Substance name: 3-(trimethoxysilyl)propylamine

EC number: 237-511-5 CAS number: 13822-56-5

**Decision number:** [Please refer to the REACH-IT message which delivered this

communication (in format TPE-D-XXXXXXXXXXXXXXX/F)]

#### **DECISION ON A TESTING PROPOSAL**

Based on Article 40 of Regulation (EC) No 1907/2006 (REACH), ECHA requests that you submit the information listed below by the deadline of **21 November 2022**.

#### A. Requirements applicable to all the Registrants subject to Annex IX of REACH

1. The Extended one-generation reproductive toxicity study also requested, and specified, at B.3 below (triggered by Annex IX, Section 8.7.3)

## B. Requirements applicable to all the Registrants subject to Annex X of REACH

- 2. Pre-natal developmental toxicity study (Annex X, Section 8.7.2.; test method OECD TG 414) in a second species (rabbit), oral route;
- 3. Extended one-generation reproductive toxicity study (Annex X, Section 8.7.3.; test method: OECD TG 443) in rats, oral route specified as follows:
  - i. At least two weeks premating exposure duration for the parental (P0) generation;
  - ii. Dose level setting shall aim to induce systemic toxicity at the highest dose level;
  - iii. Cohort 1A (Reproductive toxicity);
  - iv. Cohort 1B (Reproductive toxicity) with extension to mate the Cohort 1B animals to produce the F2 generation which must be followed to weaning.

You must report the study performed according to the above specifications. Any expansions of the study design must be scientifically justified.



## Conditions to comply with the requests

Each addressee of this decision is bound by the requests for information corresponding to the REACH Annexes applicable to their own registered tonnage of the Substance at the time of evaluation of the jointly submitted dossier.

To identify your legal obligations, please refer to the following:

- you have to comply with the requirements of Annexes VII to IX of REACH, if you have registered a substance at 100-1000 tpa;
- you have to comply with the requirements of Annexes VII to X of REACH, if you have registered a substance at above 1000 tpa.

Registrants are only required to share the costs of information they are required to submit to fulfil the information requirements for their registration.

When a study is required under several Annexes of REACH, the reasons are provided in the corresponding appendices of this decision. The registrants concerned must perform only one study and make every effort to reach an agreement as to who is to carry out the study on behalf of the other registrants in accordance with Article 53 of REACH.

The Appendices state the reasons for the requests for information to fulfil the requirements set out in the respective Annexes of REACH.

The Appendix entitled Observations and technical guidance addresses the generic approach for the selection and reporting of the test material used to perform the required studies and provides generic recommendations and references to ECHA guidance and other reference documents.

You must submit the information requested in this decision by the deadline indicated above in an updated registration dossier and also update the chemical safety report, where relevant, including any changes to classification and labelling, based on the newly generated information.

#### Appeal

This decision can be appealed to the Board of Appeal of ECHA within three months of its notification. An appeal, together with the grounds thereof, has to be submitted to ECHA in writing. An appeal has suspensive effect and is subject to a fee. Further details are described under: <a href="http://echa.europa.eu/regulations/appeals">http://echa.europa.eu/regulations/appeals</a>.

Authorised<sup>1</sup> under the authority of Christel Schilliger-Musset, Director of Hazard Assessment

<sup>&</sup>lt;sup>1</sup> As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.



## Appendix A: Reasons for the requirements applicable to all the Registrants subject to Annex IX of REACH

This decision is based on the examination of the testing proposals you submitted.

## 1. Extended one-generation reproductive toxicity study (Annex IX, Section 8.7.3.)

The basic test design of an extended one-generation reproductive toxicity study (EOGRTS) is a standard information requirement under Annex IX to REACH, if the available repeated dose toxicity studies indicate adverse effects on reproductive organs or tissues or reveal other concerns in relation with reproductive toxicity. Furthermore, column 2 defines when the study design needs to be expanded.

ECHA considers that concerns in relation with reproductive toxicity are observed in available studies. More specifically, an increased incidence in irregular oestrous cycle and/or acyclic oestrous cycles were reported at exposure doses of 300 mg/kg bw/day and above in the repeated dose toxicity study (OECD TG 408) in your IUCLID dossier.

As the condition of Annex IX, Section 8.7.3. column 1 is fulfilled, an EOGRTS is an information requirement for your registration.

For the specifications of the study design, see the Appendix B.2



## Appendix B: Reasons for the requirement applicable to all the Registrants subject to Annex X of REACH

This decision is based on the examination of the testing proposals you submitted.

## 1. Pre-natal developmental toxicity study (Annex X, Section 8.7.2., column 2) in a second species

Pre-natal developmental toxicity (PNDT) studies on two species is the standard information requirement under Annex X, section 8.7.2, to REACH.

You have submitted a testing proposal for a PNDT study in a second species according to OECD TG 414.

You provided your considerations concluding that there were no alternative methods which could be used to adapt the information requirement(s) for which testing is proposed. ECHA has taken these considerations into account.

You proposed a study with the rabbit as a second species. The study in the first species was carried out with rats. The rat or rabbit is the preferred species under the OECD TG  $414^2$ . On the basis of this default consideration, the study should be performed with the rabbit as a second species.

You proposed administration by the oral route. ECHA agrees with your proposal. The oral route is the most appropriate route of administration to investigate reproductive toxicity<sup>3</sup>.

Under Article 40(3)(a) of REACH, you are requested to carry out the proposed study with the Substance.

## 2. Extended one-generation reproductive toxicity study (Annex X, Section 8.7.3.)

The basic test design of an extended one-generation reproductive toxicity study (EOGRTS) is a standard information requirement under Annex X to REACH. Furthermore, column 2 of Section 8.7.3. defines when the study design needs to be expanded.

You have submitted a testing proposal for an EOGRTS according to OECD TG 443 by the oral route in rats with at least 2-week premating exposure duration. You have provided the following specification of the study design:

- A dose range-finding study will be conducted to determine doses or doses will be based on findings from a 90-day oral repeated dose toxicity study. Doses will be carefully selected in order to avoid animal suffering due to local effects, and to avoid the secondary effects which may result from stress.
- No extension of Cohort 1B will be included, nor cohorts for developmental neurotoxicity or developmental immunotoxicity.
- Administration will be oral via gavage, to avoid reaction of substance with food.

<sup>&</sup>lt;sup>2</sup> For the selection of the appropriate species you are advised to consult ECHA Guidance R.7a

<sup>&</sup>lt;sup>3</sup> ECHA Guidance R.7a, Section R.7.6.2.3.2.



You provided your considerations concluding that there were no alternative methods which could be used to adapt the information requirement(s) for which testing is proposed. ECHA has taken these considerations into account.

The proposed study design requires modification to fulfil the information requirement.

The following refers to the specifications of this required study.

Premating exposure duration and dose-level setting

You proposed a premating exposure duration of at least 2 weeks.

A minimum of 2-week premating exposure duration for P0 animals is required because the full spectrum of parameters on sexual function and fertility will be covered in the F1 animals.

You propose, as described above, to set the dose levels based on a range-finding study or based on findings from a 90-day study. You specifically highlight the aim to avoid animal suffering due to local effects and to avoid secondary effects to the stress. It is your responsibility to select the dose levels that meet the criteria described below in order to obtain informative results for hazard classification and labelling (CLP Regulation 1272/2008) as well as for risk assessment purposes. Higher doses than 1000 mg/kg bw/day are not requested unless human exposure is higher than that.

In order to be compliant and not to be rejected due to too low dose levels, the study must include a highest dose level which must aim to induce systemic toxicity, but not death or severe suffering of the animals, to allow comparison of reproductive toxicity and systemic toxicity. The dose level selection should be based upon the fertility effects with the other cohorts being tested at the same dose levels. A descending sequence of dose levels should be selected in order to demonstrate any dose-related effect and to establish NOAELs.

If there is no existing relevant data to be used for dose level setting, it is recommended that results from a range-finding study (or range finding studies) are reported with the main study.

You must provide a justification with your study report that demonstrate that the dose level selection meets the conditions described above.

## Extension of Cohort 1B

If the Column 2 conditions of 8.7.3., Annex IX/X are met, Cohort 1B must be extended by mating the Cohort 1B animals to produce the F2 generation.

You did not propose an extension of Cohort 1B to produce the F2 generation. However, ECHA considers that the criteria to extend the Cohort 1B are met, because:

- The use of the Substance reported in the joint submission leads to significant exposure of consumers and professionals because the Substance is used by professionals as sealants and coatings (PROCs 10, 11 and 19) and by consumers as sealants and coatings (ERC 8c and f, and PC1 and 9).
- Furthermore, there are indications of one or more modes of action related to endocrine disruption because an increased incidence in irregular oestrous cycle and/or acyclic oestrous cycles were reported at exposure doses of 300 mg/kg bw/day and above in the repeated dose toxicity study



(OECD TG 408) reported in your IUCLID dossier.

Therefore, the Cohort 1B must be extended.

The F2 generation must be followed to weaning allowing assessment of nursing and lactation of the F1 parents and postnatal development of F2 offspring. Investigations for F2 pups must be similar to those requested for F1 pups in OECD TG 443 and described in OECD GD 151<sup>4</sup>. It is recommended to aim to 20 litter per dose group in order to have similar statistical power for investigations than in P0 generation.

## Species and route selection

You proposed testing by oral route in rats. ECHA agrees with your proposal. Under Article 40(3)(b) of the REACH Regulation, you are requested to carry out the proposed test under modified conditions, as explained above with the Substance.

## Further expansion of the study design

No triggers for the inclusion of Cohorts 2A and 2B (developmental neurotoxicity) and Cohort 3 (developmental immunotoxicity) were identified. However, you may expand the study by including Cohorts 2A and 2B and/or Cohort 3 if relevant information becomes available from other studies or during the conduct of this study. Inclusion is justified if the available information meets the criteria and conditions which are described in Column 2, Section 8.7.3., Annex IX/X. You may also expand the study due to other scientific reasons in order to avoid a conduct of a new study. The study design, including any added expansions, must be fully justified and documented. Further detailed guidance on study design and triggers is provided in ECHA Guidance<sup>5</sup>.

http://www.oecd.org/officialdocuments/publicdisplaydocumentpdf/?cote=ENV/JM/MONO(2013)10&doclanguage=en

<sup>&</sup>lt;sup>5</sup> ECHA Guidance R.7a.



## **Appendix C: Procedural history**

ECHA started the testing proposal evaluation in accordance with Article 40(1) on 5 December 2018.

ECHA held a third party consultation for the testing proposals from 26 April 2019 until 10 June 2019. ECHA did not receive information from third parties.

For the purpose of the decision-making, this decision does not take into account any updates of registration dossiers after the date on which you were notified the draft decision according to Article 50(1) of REACH.

ECHA notified you of the draft decision and invited you to provide comments within 30 days of the notification.

ECHA did not receive any comments within the 30-day notification period.

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH.



### Appendix D: Observations and technical guidance

- 1. This testing proposal examination decision does not prevent ECHA from initiating compliance checks at a later stage on the registrations present.
- 2. Failure to comply with the requests in this decision, or to otherwise fulfil the information requirements with a valid and documented adaptation, will result in a notification to the enforcement authorities of your Member State(s).
- 3. Test guidelines, GLP requirements and reporting

Under Article 13(3) of REACH, all new data generated as a result of this decision needs to be conducted according to the test methods laid down in a European Commission Regulation or according to international test methods recognised by the Commission or ECHA as being appropriate.

Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.

Under Article 10 (a) (vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide: 'How to report robust study summaries'<sup>6</sup>.

### 4. Test material

Selection of the test material(s)

The registrants of the Substance are responsible for agreeing on the composition of the test material to be selected for carrying out the tests required by the present decision. The test material selected must be relevant for all the registrants of the Substance, i.e. it takes into account the variation in compositions reported by all members of the joint submission. The composition of the test material(s) must fall within the boundary composition(s) of the Substance.

While selecting the test material you must take into account the impact of each constituent/impurity is known to have or could have on the test results for the endpoint to be assessed. For example, if a constituent/impurity of the Substance is known to have an impact on (eco)toxicity, the selected test material must contain that constituent/impurity.

Technical reporting of the test material

The composition of the selected test material must be reported in the respective endpoint study record, under the Test material section. The composition must include all constituents of the test material and their concentration values. Without such detailed reporting, ECHA may not be able to confirm that the test material is relevant for the Substance and to all the registrants of the Substance.

Technical instructions are available in the manual "How to prepare registration and PPORD dossiers"<sup>7</sup>.

<sup>6</sup> https://echa.europa.eu/practical-guides

<sup>7</sup> https://echa.europa.eu/manuals



5. List of references of the ECHA Guidance and other guidance/ reference documents8

### Evaluation of available information

Guidance on information requirements and chemical safety assessment, Chapter R.4 (version 1.1., December 2011), referred to as ECHA Guidance R.4 in this decision.

#### QSARs, read-across and grouping

Guidance on information requirements and chemical safety assessment, Chapter R.6 (version 1.0, May 2008), referred to as ECHA Guidance R.6 in this decision.

ECHA Read-across assessment framework (RAAF, March 2017)9

### Physical-chemical properties

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

### Toxicology

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

#### Environmental toxicology and fate

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7b (version 4.0, June 2017), referred to as ECHA Guidance R.7b in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

### PBT assessment

Guidance on information requirements and chemical safety assessment, Chapter R.11 (version 3.0, June 2017), referred to as ECHA Guidance R.11 in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.16 (version 3.0, February 2016), referred to as ECHA Guidance R.16 in this decision.

## OECD Guidance documents<sup>10</sup>

Guidance Document on aqueous–phase aquatic toxicity testing of difficult test chemicals – No 23, referred to as OECD GD23.

Guidance Document supporting the OECD TG 443 on the extended one-generation reproductive toxicity test  $\,$  - No 151, referred to as OECD GD151.

https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment

https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-on-animals/grouping-of-substances-and-read-across

http://www.oecd.org/chemicalsafety/testing/series-testing-assessment-publications-number.htm



# Appendix E: List of the registrants to which the decision is addressed and the corresponding information requirements applicable to them

| Registrant Name | Registration number | (Highest) Data requirements to be fulfilled |
|-----------------|---------------------|---|
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Note: where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas the decision is sent to the actual registrant.