

Helsinki, 7 December 2018

Addressee: Decision number: CCH-D-2114448623-47-01/F Substance name: (2-hydroxyethyl)ammonium mercaptoacetate EC number: 204-815-4 CAS number: 126-97-6 Registration number: Submission number: Submission date: 03/07/2017 Registered tonnage band: 100-1000

## **DECISION ON A COMPLIANCE CHECK**

Based on Article 41 of Regulation (EC) No 1907/2006 (the REACH Regulation), ECHA requests you to submit information on:

- 1. In vitro gene mutation study in bacteria (Annex VII, Section 8.4.1.; test method: Bacterial reverse mutation test, EU B.13/14. /OECD TG 471) with the registered substance;
- 2. In vitro cytogenicity study in mammalian cells (Annex VIII, Section 8.4.2., test method: OECD TG 473) or in vitro micronucleus study (Annex VIII, Section 8.4.2, test method: OECD TG 487) with the registered substance;
- 3. In vitro gene mutation study in mammalian cells (Annex VIII, Section 8.4.3.; test method: OECD TG 476 or OECD TG 490) with the registered substance, provided that both studies requested under 1. and 2. have negative results;
- 4. Sub-chronic toxicity study (90-day), oral route (Annex IX, Section 8.6.2.; test method: OECD TG 408) in rats with the registered substance;
- 5. Extended one-generation reproductive toxicity study (Annex IX, Section 8.7.3.; test method: OECD TG 443) in rats, oral route with the registered substance specified as follows:
  - Ten weeks premating exposure duration for the parental (P0) generation;
  - Dose level setting shall aim to induce systemic toxicity at the highest dose level;
  - Cohort 1A (Reproductive toxicity);
  - Cohort 1B (Reproductive toxicity) without extension to mate the Cohort 1B animals to produce the F2 generation;
- 6. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.; test method: OECD TG 414) in a first species (rat or rabbit), oral route with the



#### registered substance;

You may adapt the testing requested above according to the specific rules outlined in Annexes VI to X and/or according to the general rules contained in Annex XI to the REACH Regulation. To ensure compliance with the respective information requirement, any such adaptation will need to have a scientific justification, referring and conforming to the appropriate rules in the respective annex, and adequate and reliable documentation.

You are required to submit the requested information in an updated registration dossier by **14** June 2022, except for the information requested under point 4 for a sub-chronic toxicity study (90-day) which shall be submitted in an updated registration dossier by **16** December 2019. You may only commence the extended one-generation reproductive toxicity study as requested under point 5 after **16** March 2020, unless an indication to the contrary is communicated to you by ECHA before that date. You shall also update the chemical safety report, where relevant. The timeline has been set to allow for sequential testing.

The reasons of this decision are set out in Appendix 1. The procedural history is described in Appendix 2 and advice and further observations are provided in Appendix 3.

#### Appeal

This decision can be appealed to the Board of Appeal of ECHA within three months of its notification. An appeal, together with the grounds thereof, has to be submitted to ECHA in writing. An appeal has suspensive effect and is subject to a fee. Further details are described under: <u>http://echa.europa.eu/regulations/appeals</u>.

Authorised<sup>1</sup> by Claudio Carlon, Head of Unit, Evaluation E2

<sup>&</sup>lt;sup>1</sup> As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.



## **Appendix 1: Reasons**

### Grouping of substances and read-across approach

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation.

Your registration dossier contains for multiple endpoints adaptation arguments in the form of a grouping and read-across approach under Annex XI, Section 1.5. of the REACH Regulation. ECHA has considered first the scientific and regulatory validity of your read-across approach in general before assessing the individual endpoints (sections 1 to 5).

You have sought to adapt information requirements by applying a read-across approach in accordance with Annex XI, Section 1.5, for the endpoints:

- *in vitro* gene mutation study in bacteria (Annex VII, Section 8.4.1.)
- *in vitro* cytogenicity study in mammalian cells or in vitro micronucleus study (Annex VIII, Section 8.4.2.)
- *in vitro* gene mutation study in mammalian cells (Annex VIII, Section 8.4.3.)
- sub-chronic toxicity (90-day) study (Annex IX, Section 8.6.2.)
- screening for reproductive/developmental toxicity (Annex VIII, Section 8.7.1).
- extended one-generation reproductive toxicity study (Annex IX, Section 8.7.3)
- pre-natal developmental toxicity study (Annex IX, Section 8.7.2).

According to Annex XI, Section 1.5., two conditions shall be necessarily fulfilled. Firstly, there needs to be structural similarity between substances which results in a likelihood that the substances have similar physicochemical, toxicological and ecotoxicological properties so that the substances may be considered as a group or category. Secondly, it is required that the relevant properties of a substance within the group may be predicted from data for reference substance(s) within the group (read-across approach). ECHA considers that the generation of information by such alternative means should offer equivalence to prescribed tests or test methods.

Based on the above, a read-across hypothesis needs to be provided. This hypothesis establishes why a prediction for a toxicological or ecotoxicological property is reliable and should be based on recognition of the structural similarities and differences between the source and registered substances<sup>2</sup>. This hypothesis explains why the differences in the chemical structures should not influence the toxicological/ ecotoxicological properties or should do so in a regular pattern. The read-across approach must be justified scientifically and documented thoroughly, also taking into account the differences in the chemical structures. There may be several lines of supporting evidence used to justify the read-across hypothesis, with the aim of strengthening the case.

Due to the different nature of each endpoint and consequent difference in scientific considerations (e.g. key parameters, biological targets), a read-across must be specific to the endpoint or property under consideration. Key physicochemical properties may determine the fate of a compound, its partitioning into a specific phase or compartment and largely influence the availability of compounds to organisms, e.g. in bioaccumulation and

<sup>&</sup>lt;sup>2</sup> Please see for further information ECHA *Guidance on information requirements and chemical safety assessment* (version 1, May 2008), Chapter R.6: QSARs and grouping of chemicals.



toxicity tests. Similarly, biotic and abiotic degradation may alter the fate and bioavailability of compounds as well as be themselves hazardous, bioaccumulative and/or persistent. Thus, physicochemical and degradation properties influence the human health and environmental properties of a substance and should be considered in read-across assessments. However, the information on physicochemical and degradation properties is only a part of the readacross hypothesis, and it is necessary to provide additional justification which is specific to the endpoint or property under consideration.

The ECHA Read-across assessment framework foresees that there are two options which may form the basis of the read-across hypothesis<sup>3</sup> (1) (Bio)transformation to common compound(s)- the read-across hypothesis is that different substances give rise to (the same) common compounds to which the organism is exposed and (2) Different compounds have the same type of effect(s)- the read-across hypothesis is that the organism is exposed to different compounds which have similar (eco)toxicological and fate properties as a result of structural similarity (and not as a result of exposure to common compounds).

Finally, Annex XI, Section 1.5. lists several additional requirements, which deal with the guality of the studies which are to be read-across.

You consider to achieve compliance with the REACH information requirements for the registered substance (2-hydroxyethyl)ammonium mercaptoacetate (EC No 204-815-4) using data of structurally similar substances sodium mercaptoacetate (EC No 206-696-4), ammonium mercaptoacetate (EC No 226-540-9) and mercaptoacetic acid (EC No 200-677-4) (hereafter the 'source substances').

However, there is no documentation for the read-across. Therefore, your dossier is lacking a basis for predicting relevant human health properties of the registered substance from data for the source substances.

In the absence of this information, ECHA cannot verify that the properties of the registered substance can be predicted from the data on the source substances.

Hence, you have not established that relevant properties of the registered substance can be predicted from data on the source substances. Since your adaptation does not comply with the general rules of adaptation as set out in Annex XI, Section 1.5., it is rejected and it is necessary to perform testing on the registered substance.

## 1. In vitro gene mutation study in bacteria (Annex VII, Section 8.4.1.)

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation. An "*In vitro* gene mutation study in bacteria" is a standard information requirement as laid down in Annex VII, Section 8.4.1. of the REACH Regulation. Adequate information on this endpoint needs to be present in the technical dossier for the registered substance to meet this information requirement.

<sup>&</sup>lt;sup>3</sup> Please see ECHA's <u>Read-Across Assessment Framework (https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-on-animals/grouping-of-substances-and-read-across).</u>



While you have not explicitly claimed an adaptation, you have provided information that could be interpreted as an attempt to adapt the information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing a key study performed according to OECD TG 471 and GLP with the analogue substance, ammonium mercaptoacetate (EC No 226-540-9). The test was conducted in 2003 in five strains (S. typhimurium: TA1535, TA1537, TA98, TA100 and TA102) and was assigned a reliability score of 1.

However, as explained above in the Grouping of substances and read-across approach section f this decision, your adaptation of the information requirement is rejected.

You have also provided two supporting studies performed with the analogue substances sodium mercaptoacetate (EC No 206-696-4) and ammonium mercaptoacetate (EC No 226-540-9) from the year 1987 with reliability scores of 2 and 3, respectively. The first study was performed similarly to OECD TG 471 and neither of the supporting studies were performed according to GLP standard. The supporting study with the analogue substances sodium mercaptoacetate (EC No 206-696-4) used four different strains of S. typhimurium, TA 1535, TA 1537, TA 98 and TA 100, while the supporting study with the analogue substances ammonium mercaptoacetate (EC No 226-540-9) used three different strains of S. typhimurium, TA 1535, TA 1537 and TA 1538. They did not include tests with strains S. typhimurium TA102 or E. coli WP2 uvrA or E. coli WP2 uvrA (pKM101). However, since the tests were conducted, significant changes have been made to OECD TG 471 so that additionally testing with S. typhimurium TA102 or E. coli WP2 uvrA or E. coli WP2 uvrA (pKM101) is now required. ECHA considers that testing in the five strains listed in the current test guideline is a key parameter, and that therefore, the provided supporting studies do not have adequate and reliable coverage of the key parameters in the current guideline. Accordingly they cannot be considered as providing equivalent data according to the criteria in Annex XI, 1.1.2. Additionally, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected.

As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint.

ECHA considers that the bacterial reverse mutation test (test method EU B.13/14. / OECD TG 471) is appropriate to address the standard information requirement of Annex VII, Section 8.4.1. of the REACH Regulation.

Therefore, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: Bacterial reverse mutation test (test method: EU B.13/14. / OECD TG 471).

# 2. In vitro cytogenicity study in mammalian cells or in vitro micronucleus study (Annex VIII, Section 8.4.2.)

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation.



An "*In vitro* cytogenicity study in mammalian cells or an *in vitro* micronucleus study" is a standard information requirement as laid down in Annex VIII, Section 8.4.2. of the REACH Regulation. Adequate information on this endpoint needs to be present in the technical dossier for the registered substance to meet this information requirement.

While you have not explicitly claimed an adaptation, you have provided information that could be interpreted as an attempt to adapt the information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing a study record for an *in vitro* mammalian chromosome aberration test performed according to OECD 473 and GLP (1994, reliability 1) with the analogue substance mercaptoacetic acid (EC No 200-677-4).

However, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected.

As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint.

ECHA considers that the *in vitro* mammalian chromosome aberration test (test method OECD TG 473) and the *in vitro* mammalian cell micronucleus test (OECD TG 487) are appropriate to address the standard information requirement of Annex VIII, Section 8.4.2. of the REACH Regulation.

Therefore, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: *In vitro* mammalian chromosome aberration test (test method: OECD TG 473) <u>or *in vitro*</u> mammalian cell micronucleus study (test method: OECD TG 487).

# 3. In vitro gene mutation study in mammalian cells (Annex VIII, Section 8.4.3.)

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation.

An "*In vitro* gene mutation study in mammalian cells" is an information requirement as laid down in Annex VIII, Section 8.4.3. of the REACH Regulation, "if a negative result in Annex VII, Section 8.4.1. and Annex VIII, Section 8.4.2." is obtained.

While you have not explicitly claimed an adaptation, you have provided information that could be interpreted as an attempt to adapt the information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing a study record for an *in vitro* mammalian cell gene mutation test according to EU Method B.17 and GLP (2004, reliability 1) with the analogue substance ammonium mercaptoacetate (EC No 226-540-9). However, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected.



As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint.

ECHA considers that the *in vitro* mammalian cell gene mutation tests using the *Hprt* and *xprt* genes (OECD TG 476) and the *in vitro* mammalian cell gene mutation tests using the thymidine kinase gene (OECD TG 490) are appropriate to address the standard information requirement of Annex VIII, Section 8.4.3.

Therefore, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: *In vitro* mammalian cell gene mutation test (test method: OECD TG 476 <u>or</u> OECD TG 490) provided that both studies requested under 1. and 2. have negative results.

## 4. Sub-chronic toxicity study (90-day), oral route (Annex IX, Section 8.6.2.)

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation.

A "sub-chronic toxicity study (90 day)" is a standard information requirement as laid down in Annex IX, Section 8.6.2. of the REACH Regulation. Adequate information on this endpoint needs to be present in the technical dossier for the registered substance to meet this information requirement.

While you have not explicitly claimed an adaptation, you have provided information that could be interpreted as an attempt to adapt the information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing a study record for an oral sub-chronic toxicity study (90 day) according to OECD TG 408 with the analogue substance sodium mercaptoacetate (EC No 206-696-4). The test was conducted in 2010 according to GLP and was assigned a reliability score of 1.

However, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected.

You have also provided two study records for a dermal sub-chronic toxicity study (90 day) performed with the analogue substances sodium mercaptoacetate (EC No 206-696-4) in rats and mice from the year 2003 with reliability scores of 2. However, firstly, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected.

Secondly, the first study was a non GLP, OECD TG 411 performed via dermal route. ECHA notes that testing by the dermal route is appropriate if the conditions specified in Annex IX, 8.6.2. Column 2 are fulfilled. However ECHA observes that, among others, the physicochemical properties of the registered substance do not suggest a significant rate of absorption through the skin and this is one of the mandatory criteria (2) specified in Annex IX, 8.6.2. Column 2



You have also provided three supporting studies performed with the analogue substance ammonium mercaptoacetate (EC No 226-540-9) in rabbit via the dermal route from the years 1947, 1979, 1982, non GLP with reliability scores of 3. However, firstly, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected. Secondly, as explained above, the dermal route is not considered the most appropriate route of administration.

Therefore, your adaptation of the information requirement is rejected.

As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint.

ECHA has evaluated the most appropriate route of administration for the study. Based on the information provided in the technical dossier and/or in the chemical safety report, ECHA considers that the oral route - which is the preferred one as indicated in ECHA *Guidance on information requirements and chemical safety assessment* (version 5.0, December 2016) Chapter R.7a, Section R.7.5.4.3 - is the most appropriate route of administration. More specifically, the substance is a liquid of low vapour pressure and no uses with spray application are reported that could potentially lead to aerosols of inhalable size. Hence, the test shall be performed by the oral route using the test method OECD TG 408.

According to the test method OECD TG 408 the rat is the preferred species. ECHA considers this species as being appropriate and testing should be performed with the rat.

Therefore, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: Repeated dose 90-day oral toxicity study (test method: OECD TG 408) in rats.

### Notes for your consideration

The criteria for classification as specific target organ toxicant, category 2, is applicable when significant toxic effects (i.e. morbidity and death, organ damage) observed in a 90-day repeated-dose toxicity study conducted in experimental animals are seen to occur within the guidance value range: 10 mg/kg bw/day < C  $\leq$  100 mg/kg bw/day. ECHA notes significant toxic effects in the 90-day repeated dose study, i.e. adverse effects in the heart, liver damage and deaths at the highest tested dose. These adverse effects appear to fulfil the above criteria for classification as specific target organ toxicant following repeated exposure, category 2, according to Annex I, section 3.9.2.9.7 and table 3.9.3 of the Regulation (EC) No 1272/2008. Therefore, in case the read-across is applied successfully, you shall classify the registered substance subject to this decision accordingly or provide a valid justification for not classifying."

# 5. Extended one-generation reproductive toxicity study (Annex IX, Section 8.7.3.)

In accordance with Articles 10(a) and 12(1) of the REACH Regulation, a technical dossier registered at 100 to 1000 tonnes per year must contain, as a minimum, the information specified in Annexes VII to IX to the REACH Regulation. The information to be generated for the dossier must fulfil the criteria in Article 13(4) of the same regulation.



The basic test design of an extended one-generation reproductive toxicity study (test method OECD TG 443 with Cohorts 1A and 1B, without extension of Cohort 1B to include a F2 generation, and without Cohorts 2A, 2B and 3) is a standard information requirement as laid down in column 1 of 8.7.3., Annex IX of the REACH Regulation, if the available repeated dose toxicity studies (e.g. 28-day or 90-day studies, OECD TGs 421 or 422 screening studies) indicate adverse effects on reproductive organs or tissues or reveal other concerns in relation with reproductive toxicity. If the conditions described in column 2 of Annex IX are met, the study design needs to be expanded to include the extension of Cohort 1B, Cohorts 2A/2B, and/or Cohort 3. Further detailed guidance on study design and triggers is provided in in ECHA *Guidance on information requirements and chemical safety assessment* Chapter R.7a, Section R.7.6 (version 6.0, July 2017).

Adequate information on this endpoint needs to be present in the technical dossier for the registered substance to meet this information requirement.

### a) The information requirement

ECHA considers that adverse effects on reproductive organs or tissues and/or other concerns in relation with reproductive toxicity are observed.

More specifically, ECHA notes that in a GLP, OECD Guideline 416 (Two-Generation Reproduction Toxicity Study with 2-aminoethanol administration (100, 300 and 1000 mg/kg bw/day), rel 1, demonstrating a NOAEL for systemic and reproductive toxicity including fertility at 300 mg MEA-HCl/kg bw/day the following significant fertility effects were observed at 1000 mg /kg bw/d in both P0 and F1:

- Statistically significantly decreased body weight gain of the dams during gestation
- Statistically significantly decreased absolute and relative weight of epididymides, cauda epididymidis
- Statistically significantly less implantation sites
- Statistically significantly increased post-implantation loss
- Statistically significantly smaller litters

Furthermore, in P0 at 1000 mg/kg bw/d there was a statistically significantly decrease in sperm head count in the cauda epididymidis of males. It is noted that the registered substance is a salt of thioglycolic acid and 2-aminoethanol and under physiological conditions the registered substance will release mercaptoacetic acid and 2-aminoethanol. Therefore, the concern for reproducive toxicity identified for 2-aminoethanol in the above study is also relevant for the registered substance.

Pursuant to Annex IX, Section 8.7.3. an extended one-generation reproductive toxicity study is thus an information requirement for registrations of the registered substance.

You have sought to adapt this information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing two study records with the analogue substance sodium thioglycolate (EC no 206-696-4) for a Reproduction/Developmental Toxicity Screening Test (OECD TG 421), a 2-Generation Study (OECD 416) and one study record for a Prenatal developmental roxicity study with ammonium thioglycolate (226-540-9. However, as explained above in Appendix 1, section 0 of this decision, your adaptation of the information requirement is rejected.



Therefore, your adaptation of the information requirement is rejected.

As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint. Thus, an extended one-generation reproductive toxicity study according to Annex IX, Section 8.7.3. is required. The following refers to the specifications of this required study.

#### b) The specifications for the required study

# Information from studies to be conducted before the extended one-generation reproductive toxicity study

The sub-chronic toxicity study shall be conducted before the extended one-generation reproductive toxicity study and the results from that study shall be used, among other relevant information, to decide on the study design of the extended one-generation reproductive toxicity study following ECHA *Guidance on information requirements and chemical safety assessment* Chapter R.7a, Section R.7.6 (version 6.0, July 2017).The sub-chronic toxicity study may provide information on effects that is relevant for triggers (e.g. weight changes and histopathological observations of organs as indication(s) of one or more modes of action related to endocrine disruption which may meet the toxicity-trigger for extension of Cohort 1B or as evidence of specific mechanism/modes of action and/or neurotoxicity and/or immunotoxicity which may meet the particular concern criteria for developmental neurotoxicity and/or developmental immunotoxicity cohorts).

### Premating exposure duration and dose-level setting

To ensure that the study design adequately addresses the fertility endpoint, the duration of the premating exposure period and the selection of the highest dose level are key aspects to be considered. According to the ECHA *Guidance on information requirements and chemical safety assessment* Chapter R.7a, Section R.7.6 (version 6.0, July 2017), the starting point for deciding on the length of the premating exposure period should be ten weeks to cover the full spermatogenesis and folliculogenesis before the mating, allowing meaningful assessment of the effects on fertility.

Ten weeks premating exposure duration is required because there is no substance specific information in the dossier supporting shorter premating exposure duration as advised in the ECHA *Guidance on information requirements and chemical safety assessment* Chapter R.7a, Section R.7.6 (version 6.0, July 2017).

The highest dose level shall aim to induce systemic toxicity, but not death or severe suffering of the animals, to allow comparison of reproductive toxicity and systemic toxicity. The dose level selection should be based upon the fertility effects with the other cohorts being tested at the same dose levels.

If there is no relevant data to be used for dose level setting, it is recommended that results from a range-finding study (or range finding studies) are reported with the main study. This will support the justifications of the dose level selections and interpretation of the results.

#### Species and route selection



According to the test method OECD TG 443, the rat is the preferred species. On the basis of this default assumption, ECHA considers that testing should be performed in rats.

ECHA considers that the oral route is the most appropriate route of administration for substances except gases to focus on the detection of hazardous properties on reproduction as indicated in ECHA *Guidance on information requirements and chemical safety assessment* (version 6.0, July 2017) Chapter R.7a, Section R.7.6.2.3.2. Since the substance to be tested is a liquid, ECHA concludes that testing should be performed by the oral route.

c) Outcome

Based on the available information, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: Extended one-generation reproductive toxicity study (test method OECD TG 443), in rats, oral route, according to the following study-design specifications:

- Ten weeks premating exposure duration for the parental (P0) generation;
- Dose level setting shall aim to induce systemic toxicity at the highest dose level;
- Cohort 1A (Reproductive toxicity);
- Cohort 1B (Reproductive toxicity) without extension to mate the Cohort 1B animals to produce the F2 generation;

While the specifications for the study design are given above, you shall also submit with the new endpoint study record a scientific justification on each of the following aspects: 1) length of the premating exposure duration and dose level selection, 2) reasons for why or why not Cohort 1B was extended, 3) termination time for F2 generation, and 4) reasons for why or why not Cohorts 2A/2B and/or Cohort 3 were included.

Currently, the extension of Cohort 1B and the inclusion of Cohorts 2A and 2B (developmental neurotoxicity) and Cohort 3 (developmental immunotoxicity) are not requested. However, the sub-chronic toxicity study (90-day) requested in this decision and/or any other relevant information may trigger changes in the study design. Therefore, the sub-chronic toxicity study (90-day) is to be conducted first and the study results submitted to ECHA in a dossier update by the 12-month deadline indicated in this decision. If, on the basis of this update and/or other relevant information, a need for changes to the study design is identified, ECHA will inform you within three months after expiry of the 12month deadline to provide the sub-chronic toxicity study (90-day)), as indicated in this decision, of its intention to initiate a new decision making procedure under Articles 41, 50 and 51 of the REACH Regulation to address the design of the extended one-generation reproductive toxicity study. If you do not receive a communication from ECHA by the expiry of three months following the 12-month deadline for providing the results of the sub-chronic toxicity study (90-day), the request of the present decision for the extended one-generation reproductive toxicity study remains effective and you may commence the conduct of the study and the results will need to be submitted by the deadline given in this decision.

#### Notes for your consideration

When submitting the study results of the sub-chronic toxicity study (90-day) you are invited to also include in the registration update your considerations whether changes in the study



design are needed (see also ECHA Guidance on information requirements and chemical safety assessment Chapter R.7a, Section R.7.6 (version 6.0, July 2017)).

Furthermore, after having commenced the extended one-generation reproduction toxicity study in accordance with the ECHA decision, you may also expand this study to address a concern identified during the conduct of it and also due to other scientific reasons in order to avoid a conduct of a new study. The justification for the changes in the study design must be documented.

# 6. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.) in a first species

A "pre-natal developmental toxicity study" (test method OECD TG 414) for a first species is a standard information requirement as laid down in Annex IX, Section 8.7.2. of the REACH Regulation. Adequate information on this endpoint needs to be present in the technical dossier for the registered substance to meet this information requirement.

While you have not explicitly claimed an adaptation, you have provided information that could be interpreted as an attempt to adapt the information requirement according to Annex XI, Section 1.5. of the REACH Regulation by providing study records for pre-natal developmental toxicity study according to OECD TG 414 with the analogue substances sodium mercaptoacetate (EC No 206-696-4) and ammonium mercaptoacetate (EC No 226-540-9).

However, as explained above in the Grouping of substances and read-across approach section of this decision, your adaptation of the information requirement is rejected. As explained above, the information provided on this endpoint for the registered substance in the technical dossier does not meet the information requirement. Consequently there is an information gap and it is necessary to provide information for this endpoint.

According to the test method OECD TG 414, the rat is the preferred rodent species and the rabbit the preferred non-rodent species. On the basis of this default assumption ECHA considers testing should be performed with rats or rabbits as a first species.

ECHA considers that the oral route is the most appropriate route of administration for substances except gases to focus on the detection of hazardous properties on reproduction as indicated in ECHA *Guidance on information requirements and chemical safety assessment* (version 6.0, July 2017) Chapter R.7a, Section R.7.6.2.3.2. Since the substance to be tested is a liquid, ECHA concludes that testing should be performed by the oral route.

Therefore, pursuant to Article 41(1) and (3) of the REACH Regulation, you are requested to submit the following information derived with the registered substance subject to the present decision: Pre-natal developmental toxicity study (test method: OECD TG 414) in a first species (rat or rabbit) by the oral route.



### **Appendix 2: Procedural history**

For the purpose of the decision-making, this decision does not take into account any updates of your registration after the date when the draft decision was notified to you under Article 50(1) of the REACH Regulation.

The compliance check was initiated on 17 January 2018.

The decision making followed the procedure of Articles 50 and 51 of the REACH Regulation, as described below:

ECHA notified you of the draft decision and invited you to provide comments.

ECHA did not receive any comments by the end of the commenting period.

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA took the decision according to Article 51(3) of the REACH Regulation.



### Appendix 3: Further information, observations and technical guidance

- 1. This compliance check decision does not prevent ECHA from initiating further compliance checks on the present registration at a later stage.
- 2. Failure to comply with the requests in this decision, or to otherwise fulfil the information requirements with a valid and documented adaptation, will result in a notification to the enforcement authorities of your Member State.
- 3. In carrying out the tests required by the present decision, it is important to ensure that the particular sample of substance tested is appropriate to assess the properties of the registered substance, taking into account any variation in the composition of the technical grade of the substance as actually manufactured or imported. If the registration of the substance covers different grades, the sample used for the new tests must be suitable to assess these.

Furthermore, there must be adequate information on substance identity for the sample tested and the grades registered to enable the relevance of the tests to be assessed.