

Decision number: TPE-D-0000002432-83-06/F

Helsinki, 20 December 2012

## DECISION ON A TESTING PROPOSAL SET OUT IN A REGISTRATION PURSUANT TO ARTICLE 40(3) OF REGULATION (EC) NO 1907/2006

For sodium N-lauroylsarcosinate, CAS No 137-16-6 (EC No 205-281-5), registration number:

# Addressee:

The European Chemicals Agency (ECHA) has taken the following decision in accordance with the procedure set out in Articles 50 and 51 of Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH Regulation).

I. Procedure

Pursuant to Article 40(1) of the REACH Regulation, ECHA has examined the following testing proposal submitted as part of the registration dossier in accordance with Articles 10(a)(ix) and 12 (1)(e) thereof for sodium N-lauroylsarcosinate, CAS No 137-16-6 (EC No 205-281-5), by Croda Europe Limited (Registrant).

• Prenatal Developmental Toxicity Study (OECD Guideline 414)

This decision is based on the registration dossier as submitted with submission number **Exercise**, for the tonnage band of 1000 tonnes or more per year. This decision does not take into account any updates after 19 July 2012, the date upon which ECHA notified its draft decision to the Competent Authorities of the Member States pursuant to Article 51(1) of the REACH Regulation.

This decision does not imply that the information provided by the Registrant in his registration dossier is in compliance with the REACH requirements. The decision does not prevent ECHA to initiate a compliance check on the present dossier at a later stage.

On 15 November 2010, pursuant to Article 40(1) of the REACH Regulation, ECHA initiated the examination of the testing proposals set out by the Registrant in the registration dossier for the substance mentioned above.

ECHA held a third party consultation for the testing proposals from 15 July 2011 until 29 August 2011. ECHA did not receive information from third parties for the pre-natal developmental toxicity endpoint.

On 7 December 2011 the Registrant updated the registration dossier, where he revised IUCLID section 7.8 by removing a testing proposal for a two-generation reproductive toxicity study that was included in the previous dossier. Therefore this decision addresses only the pre-natal developmental toxicity study testing proposal.

On 17 April 2012 ECHA sent the draft decision to the Registrant and invited him to provide comments within 30 days of the receipt of the draft decision.

By 18 May 2012 the Registrant did not provide any comments on the draft decision to ECHA.



On 19 July 2012 ECHA notified the Competent Authorities of the Member States of its draft decision and invited them pursuant to Article 51(1) of the REACH Regulation to submit proposals to amend the draft decision within 30 days of the receipt of the notification.

Subsequently, one Competent Authority of a Member State submitted a proposal for amendment to the draft decision.

On 22 August 2012 ECHA notified the Registrant of the proposal for amendment to the draft decision and invited him pursuant to Article 51(5) of the REACH Regulation to provide comments on the proposal for amendment within 30 days of the receipt of the notification.

ECHA reviewed the proposal for amendment received and decided not to amend the draft decision.

On 3 September 2012 ECHA referred the draft decision to the Member State Committee.

On 3 September 2012 the Registrant provided comments on the proposed amendment. The Member State Committee took the comments of the Registrant into account.

In addition to his comments on the proposed amendment, the Registrant included a request for extension of the deadline for providing the information required by this decision. On the basis of this request, the deadline in Section II was amended. The Statement of Reasons (Section III) was changed accordingly.

After discussion in the Member State Committee meeting on 23-24 October 2012, a unanimous agreement of the Member State Committee on the draft decision as modified at the meeting was reached on 23 October 2012. ECHA took the decision pursuant to Article 51(6) of the REACH Regulation.

#### II. Testing required

The Registrant shall carry out the following proposed test pursuant to Article 40(3)(a) of the REACH Regulation using the indicated test method and the registered substance subject to the present decision:

• Pre-natal developmental toxicity study in rats or rabbits, oral route (Annex IX, 8.7.2.; test method: EU B.31/OECD 414).

Pursuant to Articles 40(4) and 22 of the REACH Regulation, the Registrant shall submit to ECHA by **20 December 2014** an update of the registration dossier containing the information required by this decision.

Data from a second pre-natal developmental toxicity study on another species is a standard information requirement according to Annex X, 8.7.2 of the REACH Regulation. The Registrant should firstly take into account the outcome of the pre-natal developmental toxicity on a first species and all other relevant available data to determine if the conditions are met for adaptations according to Annex X, 8.7 column 2, or according to Annex XI. If the Registrant considers that testing is necessary to fulfil this information requirement, he should include in the update of his dossier a testing proposal for a pre-natal developmental toxicity study on a second species.

### III. Statement of reasons

The decision of ECHA is based on the examination of the testing proposal submitted by the Registrant for the registered substance.



## a) Examination of the testing proposal

Pursuant to Article 40(3)(a) of the REACH Regulation, ECHA may require the Registrant to carry out the proposed test.

Pre-natal developmental toxicity studies are part of the standard information requirements as laid down in Annexes IX and X, section 8.7.2. of the REACH Regulation. The information on this endpoint is not available for the registered substance but needs to be present in the technical dossier to meet the information requirements. Consequently there is an information gap and it is necessary to generate the data for this endpoint.

The Registrant did not specify the species and route to be used for testing. According to the test method EU B.31/OECD 414, the rat is the preferred rodent species, the rabbit the preferred non-rodent species and the test substance is usually administered orally. ECHA considers these default parameters appropriate and testing should be performed by the oral route with the rat or the rabbit as a first species to be used.

Therefore, pursuant to Article 40(3)(a) of the REACH Regulation, the Registrant is required to carry out the proposed study: Pre-natal developmental toxicity study in rats or rabbits, oral route (test method: EU B.31/OECD 414) using the registered substance.

When considering the need for a testing proposal for a pre-natal developmental toxicity study in a second species, the Registrant should take into account the outcome of the prenatal developmental toxicity study on the first species and all available data to determine if the conditions are met for adaptations according to Annex X, 8.7. column 2, or according to Annex XI; for example if the substance meets the criteria for classification as toxic for reproduction Category 1B: May damage the unborn child (H360D), and the available data are adequate to support a robust risk assessment, or alternatively, if Weight of Evidence assessment of all relevant available data provides scientific justification that the study in a second species is not needed.

At any time, the Registrant shall take into account that there may be an obligation to make every effort to agree on sharing of information and costs with other Registrants.

### b) Deadline for submitting the information

In the draft decision communicated to the Registrant the time indicated to provide the requested information was 12 months from the date of adoption of the decision. In addition to his comments on proposals for amendments, the Registrant included a request for an extension of the deadline for submission of the OECD 414 data from 12 months to 24 months from the date of the final decision. To substantiate the request, the Registrant submitted a letter from the test laboratory, where laboratory capacity issues were outlined. ECHA considers that the request made by the Registrant, as substantiated by the letter from the test laboratory, is adequately justified. The decision was therefore modified accordingly.

### IV. Adequate identification of the composition of the tested material

The process of evaluation of testing proposals set out in Article 40 of the REACH Regulation aims at ensuring that the new study meets real information needs. Within this context, the Registrant's dossier was sufficient to confirm the identity of the substance to the extent necessary for evaluation of the testing proposal. The Registrant must note, however, that this information has not been checked for compliance with the substance identity requirements set out in Section 2 of Annex VI of the REACH Regulation.



In addition, it is important to ensure that the particular sample of substance tested in the new study is appropriate to assess the properties of the registered substance, taking into account any variation in the composition of the technical grade of the substance as actually manufactured. If the registration of the substance covers different grades, the sample used for the new study must be suitable to assess these.

Finally, there must be adequate information on substance identity for the sample tested and the grade registered to enable the relevance of the study to be assessed.

#### V. General requirements for the generation of information and Good Laboratory Practice

ECHA reminds registrants of the requirements of Article 13(4) of the REACH Regulation that ecotoxicological and toxicological tests and analyses shall be carried out in compliance with the principles of good laboratory practice (GLP). National authorities monitoring GLP maintain lists of test facilities indicating the relevant areas of expertise of each facility.

According to Article 13(3) of the REACH Regulation, tests that are required to generate information on intrinsic properties of substances shall be conducted in accordance with the test methods laid down in a Commission Regulation or in accordance with other international test methods recognised by the Commission or the European Chemicals Agency as being appropriate. Thus, the Registrant shall refer to Commission Regulation (EC) No 440/2008 laying down test methods pursuant to Regulation (EC) No 1907/2006 as adapted to technical progress or to other international test methods recognised as being appropriate and use the applicable test methods to generate the information on the endpoints indicated above.

#### VI. Information on right to appeal

An appeal may be brought against this decision to the Board of Appeal of ECHA under Article 51(8) of the REACH Regulation. Such appeal shall be lodged within three months of receiving notification of this decision. Further information on the appeal procedure can be found on the ECHA's internet page at

<u>http://echa.europa.eu/appeals/app\_procedure\_en.asp</u>. The notice of appeal will be deemed to be filed only when the appeal fee has been paid.



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